

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)In re PATENT APPLICATION of

Inventor(s): SUEHIRO et al.

Appln. No.: 09 | 749,636 | Atty.Dkt. | P | 275747 | TYGUS001

Series Code ↑ | Serial No. ↑ | M# | Client Ref

Filed: December 28, 2000

Title: LIGHT EMITTING DIODE

Attn: Application Division

Hon. Commissioner of Patents
Washington, DC 20231

Date: May 3, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** copy attached not yet received
 2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
 2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
 3. Specification originally filed in non-English language; hence verified translation attached of:
 a. Abstract
 b. # pages of Specification(only spec. & claims)
 c. Drawing(s) 05/04/2001 AGOITOM 00000089 09749636
No of Sheets 06 FC:115
Fig(s). 110.00 OP
4. Letter filing formal drawing attached.
 5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
 6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

9. _____ (No.) Certified copy (copies): attached; previously filed (date) _____
in U.S. Application No. / _____ filed on _____
10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of
Small Entity Status)
11. Attached: Information Disclosure Statement; PTO-Form 1449
12. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity	Fee Code
13. Basic Filing Fee		Design Application Not Design Application	\$320/\$160 \$710/\$355	+710	106/26 101/201
14. Total Effective Claims	22	minus 20 =	2	x \$18/\$9	+36
15. Independent Claims	7	minus 3 =	4	x \$80/\$40	+320
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+130	105/205
18.			FILING FEE ENCLOSED =	\$1196	
19. Original due date:	April 15, 2001				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached		(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$390/\$195 = \$890/\$445 = \$1390/\$695 =	+110	115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee			\$40	+40	581
23. Petition Fee for			\$130	+0	
24.			TOTAL FEE ENCLOSED =	\$1346	

Our Deposit Account No. 03-3975

Our Order No. 032775

0275747

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

CUSTOMER SERVICE CENTRE PART 2 - COPY TO BE RETURNED WITH RESPONSE
Initial Patent Examination Division (703) 308-11202

Customer Service Center

S. S. Carter

1

A copy of this notice **MUST** be returned with the reply.

636
710.00 DP
130.00 DP
36.00 DP
320.00 DP

- The statutory basic filing fee is missing.
 - Applicant must submit \$710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - Total additional claim fee(s) for this application is \$356.
 - \$36 for 2 total claims over 20.
 - \$320 for 4 independent claims over 3.
 - The oath or declaration is missing.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - The balance due by applicant is \$ 1196.

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.36(a).

Filing Date Granted

FILED UNDER 37 CFR 1.53(b)

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Date Mailed: 02/15/2001

UNITED STATES PATENT AND TRADEMARK OFFICE		COMMISSIONER FOR PATENTS		UNITED STATES PATENT AND TRADEMARK OFFICE	
WASHINGTON, D.C. 20231		WASHINGTTON, D.C. 20231		UNITED STATES PATENT AND TRADEMARK OFFICE	
www.uspto.gov		www.uspto.gov		www.uspto.gov	
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAME/DISCNAME	Yoshimura Suehiro	12/28/2000	09/749,636
ATTORNEY DOCKET NUMBER			PM 275747		
CONFIRMATION NO. 6023					
FORMALITIES LETTER					
 <p>*OC000000005762670*</p>					
Pilisbury Madison & Sutro LLP Intellectual Property Group Ninth Floor 1100 New York Avenue, NW Washington, DC 20005-3918					



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